

06-29-00

## UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Attorney Docket No. 99,215-A  
First Named Inventor George W. Hawkins  
Express Mail No. EL007258531US  
Total Pages 58 (including transmittal)

## APPLICATION ELEMENTS

1. ☒ Transmittal Form with Fee
2. ☒ Specification (including claims and abstract) [Total Pages 38]
3. ☒ Drawings [Total Sheets 16]
4. ☒ Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed
  - b. ☐ Copy from prior application

**[Note Boxes 5 and 17 below]**

  - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy
  - c. ☐ Statement verifying above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers
9. ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)
  - ☐ PTO-1449 Form
  - ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☐ Small Entity Statement(s)
  - ☐ Enclosed
  - ☐ Statement filed in prior application; status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☒ Continuation-in-part of prior application Serial No. 09/464,490, filed December 15, 1999.

## APPLICATION FEES

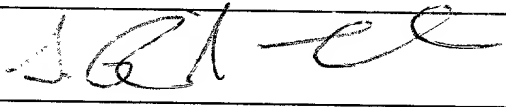
BASIC FEE				\$690.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	34 - 20 =	14	14 x \$18.00	\$252.00
Independent Claims	- 3 =		x \$78.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+ \$270.00	\$
Total of above calculations =				\$942.00
Reduction by 50% for filing by small entity =				\$( )
<input type="checkbox"/> Assignment fee if applicable			+ \$40.00	
TOTAL =				\$942.00

**UTILITY PATENT APPLICATION TRANSMITTAL**

Attorney Docket No. 99,215-A

18. ☐ Please charge my Deposit Account No. 13-2490 in the amount of \$ .
19. ☒ A check in the amount of \$ 942.00 is enclosed.
20. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:
- a. ☒ Fees required under 37 CFR 1.16.
  - b. ☒ Fees required under 37 CFR 1.17.
  - c. ☒ Fees required under 37 CFR 1.18.
21. ☐ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

**22. CORRESPONDENCE ADDRESS**

Name	McDonnell Boehnen Hulbert & Berghoff
Address	32 <sup>nd</sup> Floor, 300 South Wacker Drive
City, State, Zip	Chicago, Illinois 60606
<b>23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b>	
Name	S. Richard Carden Reg. No. 44,588
Signature	
Date	June 28, 2000

UTILITY (Rev. 11/18/97)

X Return Post Card

[illegible]

**Inventors:** George W. Hawkins  
429 E. Barbarita Avenue  
Gilbert, AZ 85234

**Citizen of the United States of America**

**Assignee: Motorola, Inc.**

**A corporation of the State of Delaware**

# METHOD AND APPARATUS FOR PERFORMING BIOLOGICAL REACTIONS ON A SUBSTRATE SURFACE

## RELATED APPLICATIONS

5           This applications is a continuation-in-part of U.S. Application No. 09/464,490, filed  
December 15, 1999.

## BACKGROUND OF THE INVENTION

### 1.     Field of the Invention

10           The present invention relates to an apparatus for performing biological reactions.  
Specifically, the invention relates to an apparatus for performing nucleic acid hybridization  
reactions on a substrate layer having a multiplicity of oligonucleotide binding sites disposed  
thereon.

### 2.     Description of the Prior Art

15           Recent advances in molecular biology have provided the opportunity to identify  
pathogens, diagnose disease states, and perform forensic determinations using gene sequences  
specific for the desired purpose. This explosion of genetic information has created a need for  
high-capacity assays and equipment for performing molecular biological assays, particularly  
20   nucleic acid hybridization assays. Most urgently, there is a need to miniaturize, automate,  
standardize and simplify such assays. This need stems from the fact that while these  
hybridization assays were originally developed in research laboratories working with purified  
products and performed by highly skilled individuals, adapting these procedures to clinical uses,  
such as diagnostics, forensics and other applications, has produced the need for equipment and

methods that allow less-skilled operators to effectively perform the assays under higher capacity, less stringent assay conditions.

Nucleic acid hybridization assays are advantageously performed using probe array technology, which utilizes binding of target single-stranded DNA onto immobilized DNA (usually, oligonucleotide) probes. The detection limit of a nucleic acid hybridization assay is determined by the sensitivity of the detection device, and also by the amount of target nucleic acid available to be bound to probes, typically oligonucleotide probes, during hybridization.

Nucleic acid hybridization chambers are known in the prior art.

U.S. Patent No. 5,100,755 to Smyczek et al. discloses a hybridization chamber.

U.S. Patent No. 5,545,531 to Rava et al. discloses a hybridization plate comprising a multiplicity of oligonucleotide arrays.

U.S. Patent No. 5,360,741 to Hunnell discloses a gas heated hybridization chamber.

U.S. Patent No. 5,922,591 to Anderson et al. discloses a miniaturized hybridization chamber for use with oligonucleotide arrays.

U.S. Patent No. 5,945,334 to Besemer discloses oligonucleotide array packaging.

As currently employed, oligonucleotide array technology does not provide maximum hybridization efficiency. Existing nucleic acid hybridization assay equipment includes numerous components, each of which is a source of inefficiency and inaccuracy.

Hybridization using oligonucleotide arrays must be performed in a volume in which a small amount of target DNA or other nucleic acid can be efficiently annealed to the immobilized probes. For diagnostic assays, target DNA molecules are often obtained in minute ( $<$  picomol) quantities. In practice, it can take several (tens of) hours for hybridization to be substantially complete at the low target nucleic acid levels available for biological samples.

In addition, array hybridization is conventionally performed in a stationary hybridization chamber where active mixing is absent. Under these conditions, the probability that a particular target molecule will hybridize to a complementary oligonucleotide probe immobilized on a surface is determined by the concentration of the target, the diffusion rate of the target molecule and the statistics of interaction between the target and the complementary oligonucleotide. Consequently, a larger number of samples must be tested to obtain useful information, and this in turn leads to increased hybridization times and inefficiencies.

In addition, efficiency is increased when the amount of user manipulation is kept to a minimum. As currently performed, oligonucleotide array hybridization requires a great deal of operator attentiveness and manipulation, and the degree of skill required to perform the analysis is high. For example, hybridization is typically performed in an assay chamber, and then data collection and analysis are performed in a separate apparatus (such as a laser scanner or fluorescence microscope). This arrangement requires a substantial amount of handling by the user, and makes the assays both time-consuming and subject to user error.

It is also a limitation of current practice that array hybridizations are performed one array at a time, thereby forgoing the economies of parallel processing and data analysis.

Additional limitations, inefficiencies, and expenses arise from the structural characteristics of existing apparatus. Many existing apparatus are limited in the size of the substrate they can accommodate. Other apparatus are not disposable and therefore require extensive cleaning between runs in order to prevent sample contamination. Yet other apparatus are high mass and therefore not susceptible of the rapid heating and cooling necessary for efficient hybridization. Other apparatus require the use of expensive optics for analysis of the reaction products.

There remains a need in this art for an easy-to-use apparatus for performing biological reactions, particularly nucleic acid hybridization, that comprises a small reaction volume, where the fluid components can be actively mixed, that can be performed in parallel and that minimizes user intervention. There also remains a need for such an apparatus that is easy to manufacture in various sizes, that is disposable to minimize sample contamination, that allows for the use of low cost optical analytical equipment, and that is low mass to allow for rapid heating and cooling of the sample fluid. There also remains a need for methods for using such apparatus to increase hybridization efficiency, particularly relating to biochip arrays as understood in the art. This need is particularly striking, in view of the tremendous interest in biochip technology, the investment and substantial financial rewards generated by research into biochip technology, and the variety of products generated by such research.

### SUMMARY OF THE INVENTION

The invention provides an apparatus in which biological reactions such as nucleic acid hybridization can be performed. The apparatus of the invention is a hybridization chamber comprising a flexible layer attached to a biochip by an adhesive layer. The biochip comprises a substrate having a first surface and a second surface, wherein the first surface contains a multiplicity of biologically reactive sites disposed in an area bounded by the adhesive layer set down on the first substrate surface. In a preferred embodiment, the multiplicity of biologically reactive sites comprises an oligonucleotide array. The flexible layer most preferably is both deformable and translucent. The adhesive layer, the flexible layer and the substrate also define a volume that is filled with a water-soluble compound. The water-soluble compound is preferably



a solid at certain temperatures, most preferably at or below room temperature, and a liquid at elevated temperatures, most preferably below about 100°C.

The apparatus of the invention has first and second ports extending through the flexible layer into the chamber, rather than first and second ports extending through the substrate. In this embodiment, the apparatus comprises a substrate having a first surface, a flexible layer affixed to the first surface by a first adhesive layer, wherein the adhesive layer bounds an area on the surface of the substrate, first and second ports extending through the flexible layer into the area bounded by the first adhesive layer, and a second adhesive layer surrounding the first and second ports, wherein the second adhesive layer creates a seal between a pipette and the flexible layer.

In particular embodiments of the apparatus, the second adhesive layer comprises a circle of adhesive surrounding each port having an inner diameter equal to the outer diameter of the port and an outer diameter larger than the outer diameter of the port. In an alternate preferred embodiment, the second adhesive layer covers the entire flexible layer except for those portions of the flexible layer through which the ports extend. In this embodiment, the apparatus further comprises a label layer affixed to the second adhesive layer, wherein the label layer has one or more windows corresponding in shape and size to the areas on the substrate surface bounded by the first adhesive layer.

In certain embodiments of the invention, the substrate comprises a multiplicity of oligonucleotide arrays, which are contained in one or a plurality of areas bounded by the adhesive layer and covered by the flexible layer. In these embodiments, each area bounded by the adhesive layer also comprises a first port and can also comprise a second port. In a further preferred embodiment, the oligonucleotides are bound to an array of anchoring structures. In a

preferred embodiment, the anchoring structures comprise gel pads. In a further preferred embodiment, the anchoring structures comprise polyacrylamide gel pads.

The hybridization chamber is optionally supplied with a heater, most preferably a resistive heater, in thermal contact with the flexible layer. The chamber is also optionally supplied with a roller, most preferably a patterned roller, positioned in contact with the flexible layer and movable longitudinally across the surface of the chamber for mixing hybridization fluid and wash solutions as required. The chamber is further optionally supplied with a scanner positioned in contact with the flexible layer and movable longitudinally across the surface of the chamber for detecting hybridization signals, most preferably produced by fluorescently-labeled target nucleic acid.

In a further preferred embodiment, the invention provides a handheld device comprising a lid, a base, a carriage embodying a roller, a scanner, a heating element and a thermocouple, wherein the hybridization chamber of the invention is positioned above and in removable contact with the carriage.

Specific preferred embodiments of the present invention will become evident from the following more detailed description of certain preferred embodiments and the claims.

## DESCRIPTION OF THE DRAWINGS

Presently preferred embodiments of the invention are described with reference to the following drawings.

FIGS. 1A-1D are views of a preferred embodiment of the present invention illustrating the preparation of a chamber for reaction. FIG. 1A is a cross-sectional view of the apparatus illustrating a hybridization chamber prefilled with a water-soluble compound in thermal contact with a heating element. FIG. 1B is a cross sectional view of the apparatus illustrating the mixing of the water-soluble compound and the biological sample fluid. FIG. 1C is a cross sectional view of the apparatus illustrating a chamber filled with the sample fluid/water-soluble compound mixture, wherein the first and second ports are covered with a seal. FIG. 1D is a top plan view of the apparatus illustrating the pattern of adhesive defining the individual areas containing the arrays of oligonucleotide probes.

FIG. 2 is an exploded cross-sectional view of a chamber showing the array of gel pads of a preferred embodiment of the invention.

FIG. 3 is an exploded perspective view of the array of biomolecular probes showing the positioning of the gel pads on the substrate of a preferred embodiment of the invention.

FIG. 4 is an exploded cross-sectional view of a port illustrating the conical shape of the port of a preferred embodiment of the invention.

FIG. 5 is a perspective view of the label layer, the flexible layer and the adhesive layer of a preferred embodiment of the invention.

FIG. 6 is a cross-sectional view of a stack of chambers according to a preferred embodiment.

FIGS. 7A-7E are top views of the layers of an alternate preferred embodiment of the invention having inlet and outlet ports extending through the flexible layer. FIG. 7A is a view of the first adhesive layer, FIG. 7B is a view of the flexible layer, FIG. 7C is a view of the second adhesive layer, FIG. 7D is a view of the label layer, and FIG. 7E is a view of the layers of 7A to 7D as assembled.

FIGS. 8A-8B are detail views of the notches cut into the first adhesive layer and the label layer of a preferred embodiment of the invention having inlet and outlet ports extending through the flexible layer.

FIGS. 9A-9C are cross-sectional views of a preferred embodiment of the present invention illustrating the process of analyzing the array after completion of the reaction. FIG. 9A shows the apparatus upon completion of the reaction. FIG. 9B illustrates removal of the sample fluid from the chamber such that the flexible layer contacts the array. FIG. 9C illustrates use of a laser scanner to analyze the array.

FIGS. 10A-10C illustrate a handheld embodiment of the present invention. FIG. 10A is a side view of the hand held scanning system. FIG. 10B is a perspective view of a preferred embodiment comprising a hand-held scanning device illustrating the contact of the flexible layer with the carriage. FIG. 10C is a view of the handheld system illustrating the lid containing the display unit.

FIG. 11A-11E are cross-sectional views of the direct contact fiber optic scanner as shown in FIG. 10.

FIG 12A-12C are alternate embodiments illustrating the apparatus coupled to a sample preparation chip. FIG. 12A illustrates an embodiment wherein the sample preparation chip is removably positioned against the second surface of the substrate. FIG. 12B illustrates an



## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention provides an apparatus for performing high-capacity biological reactions on a biochip comprising a substrate having an array of biological binding sites. The invention provides a hybridization chamber having one or more arrays, preferably comprising arrays consisting of gel pads and most preferably comprising arrays consisting of 3-dimensional polymeric gel pads, wherein nucleic acid hybridization is performed by reacting a biological sample containing a target molecule of interest with a complementary oligonucleotide probe immobilized on the biochip. In preferred embodiments, the array is an oligonucleotide array comprising at least about 400, more preferably at least about 1000 and most preferably at least about 10,000 oligonucleotide probes. In these embodiments, the apparatus is most preferably used for nucleic acid hybridization of target nucleic acids in a biological sample, wherein the biological samples include, but are not limited to, nucleic acids, including DNA, genomic DNA, cDNA, and RNA.

As used herein, the term "array" refers to an ordered spatial arrangement, particularly an arrangement of immobilized biomolecules or polymeric anchoring structures.

As used herein, the term "addressable array" refers to an array wherein the individual elements have precisely defined x and y coordinates, so that a given element can be pinpointed.

As used herein, the term "biomolecular probe" refers to a biomolecule used to detect a complementary biomolecule. Examples include antigens that detect antibodies, oligonucleotides that detect complimentary oligonucleotides, and ligands that detect receptors. Such probes are preferably immobilized on a substrate.

As used herein, the term "oligonucleotide probe" refer to a nucleic acid sequence used to detect the presence of a complementary target sequence by hybridization with the target sequence.

As used herein, the term "biochip" refers to a multiplicity of biomolecular probes, preferably oligonucleotide probes, immobilized on a substrate. In preferred embodiments, the multiplicity of biomolecular probes is provided as an ordered array; however, random placement of the multiplicity of probes is an available alternative.

The invention is advantageously used for performing assays using biochips 18. Biochips, as used in the art, encompass substrates containing arrays or microarrays, preferably ordered arrays and most preferably ordered, addressable arrays, of biological molecules that comprise one member of a biological binding pair. Typically, such arrays are oligonucleotide arrays comprising a nucleotide sequence that is complementary to at least one sequence expected to be present in a biological sample. Alternatively, peptides or other small molecules can be arrayed in such biochips for performing immunological analysis (wherein the arrayed molecules are antigens) or assaying biological receptors (wherein the arrayed molecules are ligands, agonists or antagonists of said receptors).

One useful feature of biochips is the manner in which the arrayed biomolecules are attached to the surface of the biochip. Conventionally such procedures involve multiple reaction steps, often requiring chemical modification of the solid support itself. Even in embodiments comprising absorption matrices, such as hydrogels, present on a solid support, chemical modification of the gel polymer is necessary to provide a chemical functionality capable forming a covalent bond with the biomolecule. The efficiency of the attachment chemistry and strength

of the chemical bonds formed are critical to the fabrication and ultimate performance of the microarray.

Polymeric hydrogels and gel pads are used as binding layers to adhere to surfaces biological molecules including, but not limited to, proteins, peptides, oligonucleotides, polynucleotides, and larger nucleic acid fragments. The oligonucleotide probes may be bound to the surface of a continuous layer of the hydrogel, or to an array of gel pads. The gel pads comprising biochips for use with the apparatus of the present invention are conveniently produced as thin sheets or slabs, typically by depositing a solution of acrylamide monomer, a crosslinker such as methylene bisacrylamide, and a catalyst such as N, N, N', N' - tetramethylethylenediamine (TEMED) and an initiator such as ammonium persulfate for chemical polymerization, or 2,2-dimethoxy-2-phenyl-acetophenone (DMPAP) for photopolymerization, in between two glass surfaces (e.g., glass plates or microscope slides) using a spacer to obtain the desired thickness of the polymeric gel. Generally, the acrylamide monomer and crosslinker are prepared in one solution of about 4-5% acrylamide (having an acrylamide/bisacrylamide ratio of 19/1) in water/glycerol, with a nominal amount of initiator added. The solution is polymerized and crosslinked either by ultraviolet (UV) radiation (e.g., 254 nm for at least about 15 minutes, or other appropriate UV conditions, collectively termed "photopolymerization"), or by thermal initiation at elevated temperature (e.g., typically at about 40° C). Following polymerization and crosslinking, the top glass slide is removed from the surface to uncover the gel. The pore size (and hence the "sieving properties") of the gel is controlled by changing the amount of crosslinker and the percent solids in the monomer solution. The pore size also can be controlled by changing the polymerization temperature.





Boston, MA). The Acrydite moiety is a phosphoramidite that contains an ethylene group capable of free-radical copolymerization with acrylamide, and which can be used in standard DNA synthesizers to introduce copolymerizable groups at the 5' terminus of any oligonucleotide probe.

5 With reference to the illustration provided in Figure 1, the invention provides a hybridization chamber **10** comprising a biochip, which comprises a substrate **11** having a first surface **12** and a second surface **13** opposite thereto, and a flexible layer **16** affixed to the first substrate surface **12** by an adhesive layer **15**. On the first surface **12** is an area **14** bounded by adhesive layer **15** and completely covered by flexible layer **16**. Flexible layer **16**, adhesive layer **15**, and first substrate surface **12** further define a volume **25**. The ratio of volume **25** to area **14** is preferably from about 0.025  $\mu\text{L}/\text{mm}^2$  to about 0.25  $\mu\text{L}/\text{mm}^2$ , more preferably from about 0.1  $\mu\text{L}/\text{mm}^2$  to about 0.25  $\mu\text{L}/\text{mm}^2$ , and most preferably from about 0.1  $\mu\text{L}/\text{mm}^2$  to about 0.2  $\mu\text{L}/\text{mm}^2$ .

10 As shown in Figure 3, between flexible layer **16** and first substrate surface **12** in area **14** is positioned a multiplicity of biomolecules. In a preferred embodiment, the multiplicity of biomolecules comprises an array **17** of biomolecules, which is preferably affixed to first substrate surface **12**. Array **17** preferably further comprises gel pads **22**. In an alternate preferred embodiment, array **17** is deposited on a continuous layer of polyacrylamide. Figure 2 provides an exploded cross-sectional view of a portion of array **17** illustrating the gel pads **22**. Each gel structure **22** is preferably cylindrical, most preferably having about a 113 micron diameter and about a 25 micron thickness. The distance between each site within each array **17** is most preferably about 300 microns.

A layer of a water-soluble compound **28** having a melting point of about 30 to about 60°C, more preferably of about 35 to about 50°C, and most preferably of about 35 to about 45°C is deposited in volume **25** bounded by first substrate surface **12**, flexible layer **16**, and adhesive layer **15**. Preferably, the water-soluble compound is biocompatible, does not stick to flexible layer **16**, and serves to prevent mechanical damage to gel pads **22**. In a preferred embodiment, the compound is polyethylene glycol, most preferably polyethylene glycol 600. The compound **28** is deposited so that the entire volume **25**, with the exception of that portion of volume **25** occupied by array **17**, comprises compound **28**.

Array **17** can be positioned on surface **12** by providing markings, most preferably holes or pits in surface **12**, that act as fiducials or reference points on surface **12** for accurate placement of array **17**. The presence of said fiducials is particularly advantageous in embodiments comprising a multiplicity of arrays **17** in one or a multiplicity of areas **14** on surface **12**, wherein accurate placement of said arrays is required for proper spacing and orientation of the arrays on the hybridization chamber.

In preferred embodiments, a first and second port **19** and **20** extend through flexible layer **16**, as shown in Figure 7. The first port **19** serves as an input port and is positioned in flexible layer **16** so that the first opening **29** is provided within the area **14** bounded by adhesive layer **15** on first surface **12**. Second port **20** serves as an outlet port and is positioned in flexible layer **16** so that the first opening **31** opens within area **14** bounded by the adhesive layer **15** on the first surface **12**. The openings of ports **19** and **20** may be covered with a removable and replaceable cover **21**. In preferred embodiments, replaceable cover **21** is a stopper, a gasket, or tape, most preferably a foil tape.

In these embodiments, one or more first notches 70 are cut into the first adhesive layer 15 such that the first notches 70 are in direct communication with the area 14 on first substrate surface 12 bounded by the first adhesive layer 15. Second notches 72 are cut into the flexible layer 16 in positions corresponding to the size and position of first notches 70 in adhesive layer 15, thus forming one or more ports. In a particularly preferred embodiment, a ring of adhesive 74 is deposited around the perimeter of each second notch 72, such that the inner perimeter of adhesive ring 74 is coextensive with the outer perimeter of second notch 72. Preferably, first and second notches 70 and 72 are circular in shape, and have a diameter that is equal to the inner diameter of adhesive ring 74. Preferably the inner diameter and outer diameter of adhesive ring 74 are selected to form a tight seal with the tip end of a pipette. In an alternate preferred embodiment, a second layer of adhesive 76 is deposited on the portions of flexible layer 16 not covering the area 14 on first substrate surface 12 and not defining first and second ports 19 and 20. In this embodiment, the apparatus further comprises a label layer 57 that is die cut in the same manner as the first adhesive layer 15 to form windows 58 that correspond in location to areas 14 on first substrate surface 12, and which is applied to second adhesive layer 76. In this embodiment, one or more third notches 78 are cut into second adhesive layer 76, such that third notches 78 correspond in shape, size, and position to first and second notches 70 and 72. Fourth notches 80, having a shape and position corresponding to first, second and third notches 70, 72 and 78, are cut into label layer 57. The diameter of fourth notches 80 is preferably greater than the diameter of first, second and third notches 70, 72 and 78, such that after the apparatus is assembled a portion of second adhesive layer 76 is exposed by fourth notch 80. Preferably the exposed portion of second adhesive layer 76 corresponds to the shape and size of a pipette tip.

In alternative embodiments of the apparatus, first and second ports **19** and **20** extend through substrate **11**, rather than through flexible layer **16**. Illustrative embodiments are described in co-owned and co-pending U.S. Application No. 09/464,490, incorporated by reference herein.

5 In preferred embodiments of the apparatus, area **14** on first substrate surface **12** is square or rectangular with two rounded edges at diagonally opposite corners of area **14** and two 90 degree angles at the remaining two diagonally opposite corners of area **14**. Preferably, when first and second ports **19** and **20** extend through flexible layer **16**, first notches **70** in first adhesive layer **15** are cut at the sharp edges of area **14**, as shown in Figure 7. These embodiments are particularly preferred as they comprise geometries that eliminate corners and therefore are useful in the prevention of bubble formation in area **14**.

10 Substrate **11** is fabricated from any solid supporting substance, including but not limited to plastics, metals, ceramics, and glasses. Most preferably, substrate **11** is made from silicon or glass (for accuracy and stiffness), molded plastics (which reduce cost of manufacture and thermal inertia), or ceramics (for the incorporation of microfluidic elements including integrated heating elements). Most preferably, the substrate is glass.

15 Adhesive layer **15** is prepared using an adhesive suitable for forming a water-tight bond between substrate **11** and flexible layer **16**, including, but not limited to, high temperature acrylics, rubber-based adhesives, and silicone-based adhesives. The shape of adhesive layer **15** is configured to contain array **17**. Adhesive layer **15** can be deposited on first substrate surface **12** in a pattern to produce area **14** in any desired shape, and is most preferably deposited to define an ellipsoid area **14**. Adhesive layer **15** can be deposited using inkjet printing or offset printing methods, or by die cutting the desired shapes from a sheet of adhesive material. In addition, a

20

substantial portion of first surface **12** can be covered with adhesive and portions of the substrate that are not desired to retain adhesive properties can be hardened preferentially, for example, by ultraviolet curing. In these embodiments, portions retaining adhesive properties can be defined using a mask and thereby retain adhesive properties necessary to affix flexible layer **16** to surface **12**. In embodiments using the die cut adhesive material, the adhesive material is preferably a doublesided adhesive tape, and more preferably a double sided adhesive tape having no carrier. Adhesive layer **15** is most preferably set down in a layer between 1 and 100  $\mu\text{m}$  thick, more preferably between 25 and 50  $\mu\text{m}$  thick, and most preferably about 50  $\mu\text{m}$  thick.

Flexible layer **16** is made of any flexible solid substance, including but not limited to plastics, including polypropylene, polyethylene, and polyvinylidene chloride (sold commercially as Saran<sup>®</sup> wrap) plastics, rubbers, including silicone rubbers, high temperature polyesters, and porous Teflon<sup>®</sup>. Flexible layer **16** is preferably biocompatible and preferably has low permeability in order to prevent evaporation of water from the volume contained between the flexible layer and the substrate. Flexible layer **16** also preferably is optically clear and should be able to withstand temperatures of between 50 and 95°C for a period of between 8 and 12 hours without shrinkage. In a preferred embodiment, the flexible layer is a gas permeable membrane. Flexible layer **16** preferably covers an area of from about 5 mm<sup>2</sup> to about 1400 mm<sup>2</sup>, more preferably from about 5 mm<sup>2</sup> to about 600 mm<sup>2</sup>, and most preferably from about 100 mm<sup>2</sup> to about 600 mm<sup>2</sup>.

In preferred embodiments, as shown in Figure 5, the invention further comprises a label layer **57** that is die cut in the same manner as the adhesive to form windows **58** that correspond in location to areas **14** on first substrate surface **12**. The label layer is preferably a thick film having

a layer of adhesive, and most preferably is an Avery laser label. The label layer is applied to the outer surface of the flexible layer, preferably by vacuum lamination.

Array 17 contained in area 14 on first substrate surface 12 is covered with a water-soluble compound 28, which protects and seals the biochip prior to use and prevents degradation or other damage to the array. Any water-soluble compound 28 having a melting point of about 30°C to about 60°C, more preferably of about 35°C to about 50°C, and most preferably of about 35°C to about 45°C is advantageously used in filling volume 25 between array 17 and flexible layer 16. Preferably, the compound is polyethylene glycol, most preferably polyethylene glycol 600. It is a particularly preferred feature of hybridization chamber 10 of the invention that water-soluble compound 28 fills the entirety of the volume 25 and more preferably also fills at least a portion of input port 19. This prevents formation of air bubbles in volume 25 because compound 28 is first melted, then carefully mixed with hybridization fluid 26 within volume 25 using a roller 40 without producing air bubbles in hybridization fluid 26. The lack of air bubbles in volume 25 minimizes artifactual signals detected by a scanner 36 or a light pipe 37.

Ports and holes can be produced in substrate 11 by diamond drilling in glass embodiments of substrate 11 or by stamping or molding in plastic embodiments thereof. This facilitates standardization of the hybridization chamber dimensions, for example, by producing substrates where the first and second ports 19 and 20 are produced in a single operation. Both the substrate 11 and the removable cover 21 can be set down as strips or large sheets, and can be rolled to avoid trapping air bubbles. Flexible layer 16 can be applied by vacuum lamination to avoid trapping air, or can be deposited by spinning or flowing liquid plastic over substrate 11 after treatment with adhesive 15 and water-soluble compound 28, followed by curing the flexible

layer in place. Individual hybridization chambers 10 can be produced in stacks using, for example, a diamond saw as shown in Figure 6.

Figure 6 illustrates a preferred arrangement for manufacturing hybridization chamber 10, wherein alternating layers of flexible layer 16, adhesive layer 15, uncut substrate 11, and removable cover 21 are laid down, and hybridization chambers are produced by cutting the stacked layers, for example, with a diamond saw or any appropriate manufacturing tool. The sealed volumes 25 protect the arrays 17 from debris produced during the cutting process.

Alternative embodiments of the hybridization chamber 10 of the invention encompass a multiplicity of arrays 17 confined in a multiplicity of areas 14 underneath flexible layer 16, each area comprising an array 17 and being supplied with first port 19 and, optionally, second port 20. In these embodiments, adhesive layer 15 is deposited on first substrate surface 12 in a pattern that defines each of areas 14, and flexible layer 16 is applied to adhesive layer 15 to encompass areas 14 on said surface.

In certain embodiments of the invention, hybridization chamber 10 is produced containing array 17 or a multiplicity of arrays 17 as disclosed herein, wherein the chamber is provided ready-to-use by the addition of hybridization fluid 26 comprising one or a multiplicity of target molecules. In alternative embodiments, hybridization chamber 10 is provided without array 17, and allows for insertion thereof by a user. In these embodiments, at least one edge of flexible layer 16 is not adhered to first substrate surface 12

In the use of the hybridization chamber 10 of the invention, an amount of a hybridization fluid 26, most preferably comprising a biological sample containing a target nucleic acid, is added to the hybridization chamber through first port 19. Before application of the hybridization fluid 26 to the chamber, volume 25 is most preferably heated to a temperature greater than or



equal to the melting temperature of water-soluble compound 28. When melted, hybridization fluid 26 can be added to the chamber and mixed with the water-soluble compound, as shown in Figure 1B. Preferably, water-soluble compound 28 does not affect hybridization in the chamber. More preferably, the amount of compound 28 is chosen such that hybridization efficiency is improved when compound 28 is mixed with sample fluid 26.

In embodiments of the chamber comprising first port 19 but not second port 20, the hybridization fluid is preferably introduced into the chamber after compound 28 is melted, and then the fluid is cycled into and out of the chamber using, most preferably, a pipette, until fluid 26 and compound 28 are fully mixed, and the hybridization fluid evenly distributed over the surface of array 17, or mixed into gel pads 22 comprising certain embodiments of said arrays. Alternatively, hybridization fluid 26 is evenly distributed over the surface of array 17, or mixed into gel pads 22 by physically manipulating flexible layer 16, as more fully described below. In these embodiments, hybridization fluid 26 is removed after hybridization is completed, as shown in Figure 9, and array 17 is washed by the cycling a sufficient volume of a wash solution 27 into and out of the chamber, most preferably using a pipette.

In embodiments of the chamber comprising both first port 19 and second port 20, the hybridization fluid is preferably introduced into the chamber after compound 28 is melted, and then the fluid is cycled into and out of the chamber using, most preferably, at least one pipette, until fluid 26 and compound 28 are fully mixed, and the hybridization fluid evenly distributed over the surface of array 17, or mixed into gel pads 22 comprising certain embodiments of said biochips. Hybridization is then performed by incubating the chamber for a time and at a temperature sufficient for hybridization to be accomplished. Hybridization fluid 26 is removed after hybridization has been completed using outlet port 20, and the biochip washed by the

addition and cycling of a sufficient volume of a wash solution 27 into and out of the chamber, most preferably using a pipette. In these embodiments, the wash solution can also be continuously provided by addition through the input port and removal through the output port. In certain embodiments, the biochip containing the hybridized array is removed from the chamber for development or further manipulations as required. In preferred embodiments, the biochip containing the hybridized array is analyzed *in situ* as described below.

Figure 1B illustrates an advantageous embodiment of hybridization chamber 10 of the invention, further comprising a heating element 33. Most advantageously, heating element 33 has a heating surface 34 adapted to the shape of hybridization chamber 10 to substantially cover the area 14 under flexible layer 16. Heating element 33 is any suitable heating means, including but not limited to resistance heaters, thermoelectric heaters, or microwave absorbing heaters.

The hybridization chamber 10 of the invention also advantageously comprises a thermocouple 35 or other temperature-sensing or measuring element to measure the temperature of hybridization fluid 26 or chamber 10. These temperature-sensing elements advantageously are coupled with heating element 33 to control hybridization fluid 26 and wash solution 27 temperature, and can be used to calibrate other elements, such as scanning devices 36 as described below that may be sensitive to temperature.

In certain embodiments of the invention, positive hybridization is detected visually, *i.e.*, by the production of a dye or other material that reflects visible light at sites on biochip 18 where hybridization has occurred. In these embodiments, the dye or other material is most preferably produced enzymatically, for example, using a hybridization-specific immunological reagent such as an antibody linked to an enzyme that catalyzes the production of the dye. Visual inspection

can be used to detect sites of positive hybridization. More preferably, the biochip containing the hybridized array is scanned using scanner 36 as disclosed more fully below.

Positive hybridization on biochip 18 most preferably is detected by fluorescence using labeled target molecules in a biological sample, or by including intercalating dyes in the hybridization fluid 26 that fluoresce when bound by a hybridized DNA duplex and illuminated by light at a particular wavelength. Suitable intercalating dyes include, but are not limited to, ethidium bromide, Hoechst DAPI, and Alexa Fluor dyes. Suitable fluorescence labels include, but are not limited to, fluorescein, rhodamine, propidium iodide, and Cy3 and Cy5 (Amersham), that can be incorporated into target molecules, for example, *in vitro* amplified fragments using labeled oligonucleotide primers.

Figures 10A-10C illustrate an embodiment of the invention comprising a scanner 36, which is advantageously positioned over (or beneath) flexible layer 16 and moves from one end of area 14 to the opposite end, sequentially illuminating area 14 and array 17 positioned thereupon. Prior to analysis of the hybridized array, all fluid is removed from volume 25 such that flexible layer 16 is in contact with array 17. Scanner 36 then excites the fluorescent dye, preferably with short wavelength light, most preferably light with a wavelength between 250 nm and 600 nm. Scanner 36 then collects the emitted light from a specific area. The amount of light emitted is then used to determine the amount of fluorescent dye present in the area, and hence the amount of labeled target.

Particular embodiments of scanners and scanning devices 36 are shown in Figures 11A through 11E. It is a particularly advantageous feature of hybridization chamber 10 that flexible layer 16 is translucent to suitable wavelengths of light, including light in the ultraviolet and visible portion of the spectrum. An additional advantageous feature of hybridization chamber 10

is that flexible layer 16, which is very thin, is immediately adjacent to and in contact with biochip 18 after hybridization fluid 26 or wash fluid 27 is removed from the chamber. This combination of features reduces or eliminates free surface reflections, internal reflection of illumination from the scanner, and dispersion or scattering of illuminating light, thereby optimizing the amount of incident light that illuminates array 17. This arrangement is also more economical than in existing apparatus as it minimizes the need for highly polished, low scattering surfaces or complex or expensive lenses, and eliminates problems associated with focus and depth-of-field in more complex optical detectors.

In other embodiments, a light pipe 37 contacts the surface of flexible layer 16 that is immediately adjacent to and in contact with the surface of array 17, as shown in Figure 11B. In these embodiments, both illuminating and emitted light are conveyed and collected by light pipe 37. The pipe is designed to be slightly flexible so as to adapt to the contoured surface of flexible layer 16. Light pipe 37 contacts flexible layer 16 that contacts array 17, thereby permitting contacts free of surface reflections even under circumstances where array 17 or light pipe 37 has localized variations in height. Advantageously, light pipe 37 has a larger surface area than array 17, so that the maximum amount of illuminating light is delivered to array 17, and the maximum amount of emitted light from array 17 is collected by light pipe 37. A further advantage of light pipe 37 is that it enables detection of bubbles formed in hybridization fluid 26 or wash buffer 27, which detection can be used as a signal for roller 40 to address flexible layer 16 to remove such bubbles. Removing bubbles in hybridization fluid 26 or wash buffer 27 reduces the frequency of non-specific binding and artifactual signals detected by scanner 36.

In additional embodiments of the invention, the area 14 defined by adhesive layer 15 further comprises a reflective layer 38 that substantially covers the entirety of the area 14 and is

positioned between array 17 and the first substrate surface 12. In preferred embodiments, reflective layer 38 comprises aluminum, gold, silver, or platinum. In these embodiments, the amount of the light signal reflected or transmitted to the light-detecting portion of scanner 36 is increased up to four-fold. In further advantageous embodiments of the invention, reflective layer 38 is a metal film resistor or an RF induction heater. In these embodiments, reflective 38 layer can heat the slide without requiring additional heating elements 33. This is a particularly desirable feature in hand-held embodiments of the hybridization chamber 10 of the invention.

If required, a passivation later 39 can be applied on top of reflective layer 38. Preferably, passivation layer 39 is a layer of parylene a few microns thick that is applied by evaporation. The amount of illumination required, and hence the amount of power needed to operate scanner 36 is reduced in these embodiments, which are particularly suited to battery-operated embodiments such as hand-held devices to improve useful battery life. Furthermore, passivation layer 39 reduces artifactual signals in the light emission data by obscuring objects that it covers.

Hybridization chamber 10 is preferably supplied with a roller 40 in removable contact with flexible layer 16 and that can be moved longitudinally across areas 14 on first substrate surface 12. In preferred embodiments, the surface of roller 40 comprises a textured pattern 41, most preferably a spiral pattern, that permits the roller to efficiently mix hybridization fluid and wash solution across area 14 and array 17. One advantageous arrangement of roller 40 and hybridization chamber 10 is shown in Figure 11E. As shown in the Figure, roller 40 can be advantageously connected to a movable arm 42 that can be positioned to place roller 40 in contact with flexible layer 16 when in a first position, and can be moved to a second position in which roller 40 is not in contact with flexible layer 16. Most preferably, movable arm 42 has a

pivot point 44 and movement about said pivot point is preferably controlled by a solenoid. In addition to movement of roller 40 in contact with and away from hybridization chamber 10, either roller 40 or hybridization chamber 10, or both, are movable in a longitudinal direction to enable roller 40 to mix hybridization fluid 26 or wash solution 27 inside volume 25 bounded by flexible layer 16, adhesive layer 15, and first substrate surface 12 in area 14 containing array 17. In embodiments comprising a multiplicity of areas 14 containing a multiplicity of arrays 17, roller 40 is positioned to move longitudinally across each of the multiplicity of areas 14 to mix hybridization fluid 26 or wash solution 27 in each of the volumes 25 containing arrays 17.

In additional embodiments, a sample preparation chip 45, comprising a port 46, as shown in Figures 12A through 12C, can be attached to hybridization chamber 10. Most preferably, port 46 in sample preparation chip 45 is aligned with first port 19 in hybridization chamber 10 to permit efficient transfer of sample to volume 25. Additional fiducial references can be used to accurately align hybridization chamber 10 and sample preparation chip 45. Since access to first port 19 is through second substrate surface 13, the array can be scanned without interference from the attached sample preparation chip. In alternative embodiments of the invention, sample preparation chip 45 may be bound to second substrate surface 13 (Figure 12B) or formed as an integral part of substrate 11 (Figure 12C).

A preferred embodiment of hybridization chamber 10 of the invention is a hand-held embodiment as shown in Figures 10A-10C, further comprising a scanner 36. In these embodiments, hand-held device 47 comprises a base 48, a lid 49 and a carriage 50 embodying roller 40, scanner 36, heating element 33 and thermocouple 35. Carriage 50 is illustrated in Figure 11A. Device 47 comprises a compartment 51 for positioning hybridization chamber 10 in proximity to carriage 50. Carriage 50 is provided with moving means for moving roller 40,

scanner 36 and heating element 33 relative to hybridization chamber 10 as required for operation as described above. Carriage 50 and lid 49 are arranged to permit a user to introduce and remove hybridization fluid 26 and wash solution 27 into the chamber through first port 19 and second port 20 as required. Alternatively, device 47 further comprises fluidic connections 52 to each of the first and second ports to provide for sample introduction and array washing after hybridization of the sample thereto. Device 47 is most preferably operated by battery, although AC adapters are also advantageously encompassed by the description of the device herein. In further preferred embodiments, lid 49 further comprises a display 56 for displaying the results of the analysis.

The Examples that follow are illustrative of specific embodiments of the invention and various uses thereof. They are set forth for explanatory purposes only, and are not to be taken as limiting the invention.

## EXAMPLE 1

### ASSEMBLY OF A HYBRIDIZATION CHAMBER

The process of assembling a chamber according to the present invention is illustrated in Figure 13.

A die cutter was used to cut four ellipsoidal holes in a layer of 502FL ultra-clean laminating adhesive film (3M). A similar pattern was punched into an Avery laser label 5663 for use as a frame and label layer. Meanwhile, a sheet of polyvinylidene chloride film was stretched over a stainless steel frame and annealed for 30 minutes at 100°C. The Avery label was applied to one side of the polyvinylidene chloride film by vacuum laminating the label in a vacuum lamination press. A vacuum of 15 psi was applied for 30 seconds, and mechanical pressure of 15

psi was maintained for 1 minute after release of the vacuum. The adhesive was then applied to the opposite side of the polyvinylidene chloride film using the same process as for the label.

The adhesive coated film was then applied to a glass slide that had previously been prepared. The arrays of oligonucleotide probes and gel pads were positioned on the glass slide using standard methods. Ports were drilled into the slide using a diamond drill. A vacuum lamination press was used to affix the polyvinylidene chloride film to the slide. A vacuum of 15 psi was maintained for 1 minute, and then mechanical pressure of 15 psi was maintained for an additional minute.

The individual chambers were then filled with polyethylene glycol 600 using a 10  $\mu$ L pipette tip. A layer of 3M 7350 polyester tape was then applied to the slide to seal off the ports.

## EXAMPLE 2

### ASSEMBLY OF A TOP-LOADING HYBRIDIZATION CHAMBER

A die cutter was used to cut four ellipsoidal holes in a layer of 502FL ultra-clean laminating adhesive film (3M). A similar pattern was punched into an Avery laser label 5663 for use as a frame and label layer. Meanwhile, a sheet of polyvinylidene chloride film was stretched over a stainless steel frame and annealed for 30 minutes at 100°C. The Avery label was applied to one side of the polyvinylidene chloride film by vacuum laminating the label in a vacuum lamination press. A vacuum of 15 psi was applied for 30 seconds, and mechanical pressure of 15 psi was maintained for 1 minute after release of the vacuum. The adhesive was then applied to the opposite side of the polyvinylidene chloride film using the same process as for the label.



The adhesive coated film was then applied to a glass slide that had previously been prepared. The arrays of oligonucleotide probes and gel pads were positioned on the glass slide using standard methods. A vacuum lamination press was used to affix the polyvinylidene chloride film to the slide. A vacuum of 15 psi was maintained for 1 minute, and then mechanical  
5 pressure of 15 psi was maintained for an additional minute.

The individual chambers were then filled with polyethylene glycol 600 using a 10  $\mu$ L pipette tip. A layer of 3M 7350 polyester tape was then applied to the slide to seal off the ports.

It should be understood that the foregoing disclosure emphasizes certain specific  
10 embodiments of the invention and that all modifications or alternatives equivalent thereto are within the spirit and scope of the invention as set forth in the appended claims.

## WHAT WE CLAIM IS:

1. An apparatus for performing biological reactions, comprising:

(a) a substrate having a first surface and a second surface opposite thereto;

(b) a multiplicity of biomolecules positioned on the first surface of the

5 substrate;

(c) a flexible layer affixed to the first surface of the substrate by an adhesive layer, wherein the adhesive layer is deposited on the first surface of the substrate and encloses an area thereupon, and wherein a volume is enclosed between the flexible layer and the first substrate surface in the area defined by the adhesive layer; and

10 (d) first and second ports extending through the flexible layer and the adhesive layer into the volume enclosed between the flexible layer and the first substrate surface in the area defined by the adhesive layer.

15 2. The apparatus of Claim 1 wherein the substrate is made of glass, silicon, ceramic or plastic.

3. The apparatus of Claim 1 wherein each of the multiplicity of biomolecules is attached to an anchoring structure.

20 4. The apparatus of Claim 3 wherein the anchoring structure comprises a gel pad.

5. The apparatus of Claim 4 wherein the gel pad is a polymeric gel pad.

5a. The apparatus of claim 5 wherein the gel pad is a polyacrylamide gel pad.

6. The apparatus of Claim 3 wherein the anchoring structure comprises a continuous layer of polyacrylamide gel.

7. The apparatus of Claim 1 wherein the multiplicity of biomolecules comprises an ordered array of biomolecules.

8. The apparatus of Claim 1 wherein the flexible layer is made of plastic, rubber, polyester, or Teflon.

9. The apparatus of Claim 8 wherein the flexible layer is made of polypropylene, polyethylene or polyvinylidene chloride.

10. The apparatus of Claim 1 wherein the flexible layer is a translucent plastic.

11. The apparatus of Claim 1 wherein the flexible layer is a gas permeable membrane.

12. The apparatus of Claim 1 further comprising a water-soluble compound that is a solid at room temperature and a liquid at a second, higher temperature, wherein the water-soluble compound fills the volume enclosed between the flexible layer and the first substrate surface in the area defined by the adhesive layer.

13. The apparatus of Claim 12 wherein the water-soluble compound is polyethylene glycol.

14. The apparatus of Claim 13 wherein the water-soluble compound is polyethylene glycol 600.

5 15. The apparatus of Claim 1 further comprising a label layer affixed to the flexible layer, wherein the first and second ports extend through the label layer and the flexible layer.

10 16. The apparatus of Claim 15 wherein the label layer comprises an adhesive surface and a non-adhesive surface, and wherein the label layer is affixed to the flexible layer using the adhesive surface.

15 17. The apparatus of Claim 15 wherein the label layer comprises a window corresponding in size and position to the area bounded by the adhesive layer, and wherein the window allows visual inspection of the flexible layer and the volume enclosed between the flexible layer and the first substrate surface in the area defined by the adhesive layer.

18. The apparatus of Claim 1 further comprising a reflective layer positioned between the array and the first substrate surface.

20 19. The apparatus of Claim 1 further comprising a resistive heater.

20. The apparatus of Claim 1 wherein the resistive heater comprises a reflective layer positioned between the array and the first substrate surface.

21. The apparatus of Claim 1 further comprising a passivation layer.

22. The apparatus of Claim 21 wherein the passivation layer is made of parylene.

5

23. The apparatus of Claim 1 further comprising a scanner, wherein the scanner is in contact with the flexible layer at a position over the array.

10 24. The apparatus of Claim 12, wherein the scanner is a light pipe and covers the entirety of the area bounded by the adhesive and comprising the array.

25. The apparatus of Claim 12, wherein the scanner is a movable scanner and moves longitudinally across the area bounded by the adhesive and comprising the array.

15 26. The apparatus of Claim 1 further comprising a sample preparation chip in contact with the second substrate surface, wherein the sample preparation chip has a port that is aligned with the first port of the apparatus.

20 27. The apparatus of Claim 1 further comprising a roller, wherein the roller is in contact with the flexible layer at a position over the array.

28. The apparatus of Claim 16, wherein the roller moves longitudinally across the area bounded by the adhesive and comprising the array.

29. The apparatus of Claim 1 further comprising:

(a) a case having a lid and a base,

(b) a cavity disposed in the base, and

5 (c) a carriage comprising a scanner and a roller, wherein the carriage is provided in the cavity, wherein the substrate is removably positioned above the carriage such that the first substrate surface is in operative contact with the carriage.

30. The apparatus of Claim 29 wherein the lid further comprises a display unit.

10 31. The apparatus of Claim 29 wherein the carriage further comprises a pivot point provided between the scanner and the roller.

15 32. The apparatus of Claim 31 and wherein the motion of the carriage about the pivot point is controlled by a solenoid.

33. An apparatus for performing biological reactions, comprising:

(a) a glass microscope slide having a first surface and a second surface opposite thereto;

20 (b) a multiplicity of biomolecules positioned on the first surface of the slide, wherein each biomolecule is anchored to the first surface by a polyacrylamide gel;

(c) a layer of polyvinylidene chloride affixed to the first surface of the slide by a layer of double-sided adhesive, wherein the adhesive layer is deposited on the first surface

of the slide and encloses an area thereupon and wherein a volume is enclosed between the layer of polyvinylidene chloride and the surface of the glass slide in the area defined by the adhesive layer;

(d) a layer of a polyethylene glycol positioned between the first surface of the slide and the layer of polyvinylidene chloride;

(e) a reflective layer positioned between the array and the first substrate surface;

(f) a layer of parylene positioned between the reflective layer and the and the layer of polyvinylidene chloride; and

(g) a resistive heater.

34. An apparatus for performing biological reactions, comprising:

(a) a glass microscope slide having a first surface and a second surface opposite thereto,

(b) a multiplicity of biomolecules positioned on the first surface of the slide, wherein each biomolecule within the array is anchored to the first surface by a polyacrylamide gel ;

(c) a layer of polyvinylidene chloride affixed to the first surface of the slide by a layer of double-sided adhesive, wherein the adhesive layer is deposited on the first surface of the slide and encloses an area thereupon and wherein a volume is enclosed between the layer of polyvinylidene chloride and the first surface of the glass slide in the area defined by the adhesive layer;

(d) a first and second port extending through the layer of polyvinylidene chloride and through the layer of double-sided adhesive into the volume enclosed between the layer of polyvinylidene chloride and the first surface of the glass slide;

(e) a layer of a polyethylene glycol positioned between the first surface of the slide and the layer of polyvinylidene chloride;

(f) a reflective layer positioned between the array and the first substrate surface;

(g) a layer of parylene positioned between the reflective layer and the and the layer of polyvinylidene chloride;

(h) a resistive heater;

(i) a case having a lid and a base, wherein the lid further comprises a display unit;

(j) a cavity disposed in the base; and

(k) a carriage comprising a scanner and a roller, wherein the carriage is provided in the cavity, and wherein the slide is removably positioned above the carriage such that the first slide surface is in operative contact with the carriage.



## ABSTRACT OF THE DISCLOSURE

The present invention provides an apparatus for performing biological reactions on a substrate layer having a multiplicity of oligonucleotide binding sites disposed thereon. The invention provides a hybridization chamber wherein nucleic acid hybridization is performed by reacting biological material on a biochip comprising a substrate having an array of oligonucleotide binding sites. The binding sites are associated with an array of 3-dimensional polyacrylamide pads for anchoring the reactants. The arrays are covered with a flexible layer that permits mixing of the hybridization solution on the biochip and detection of hybridization in situ. Fluid inlet and outlet ports into the chamber provide for control of fluid flow into and out of the chamber.

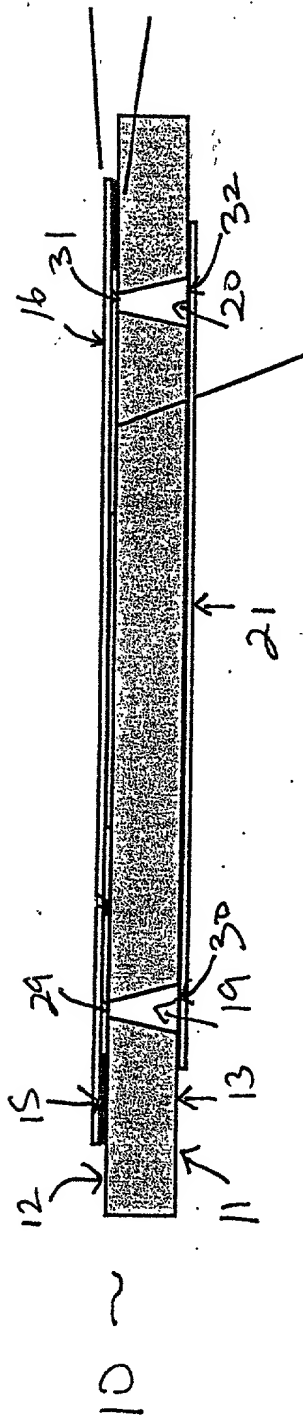


FIG. 1A

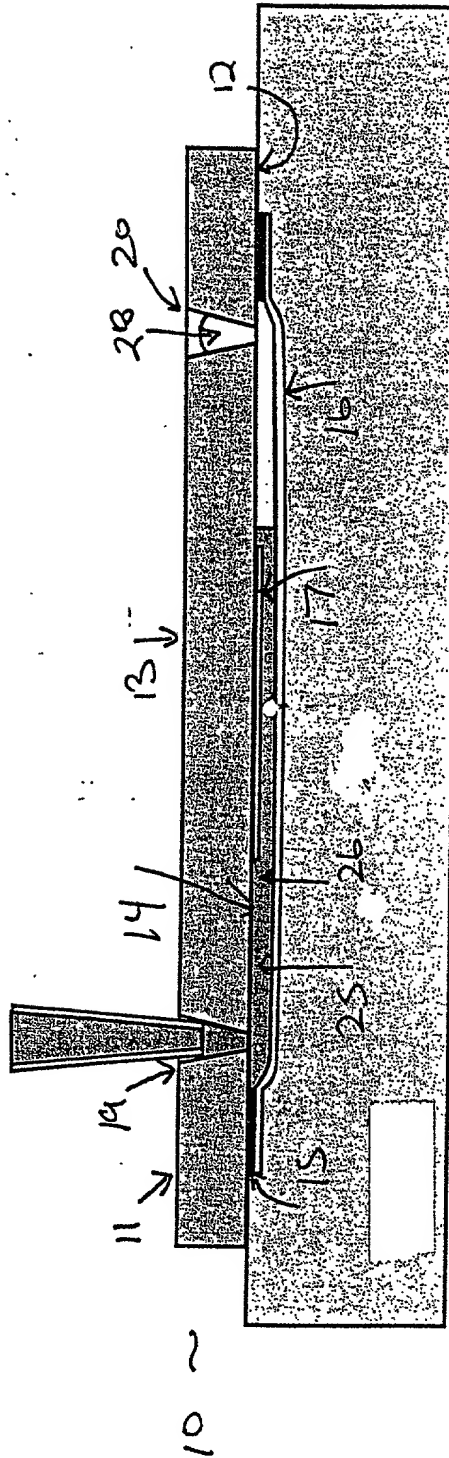


FIG. 1B

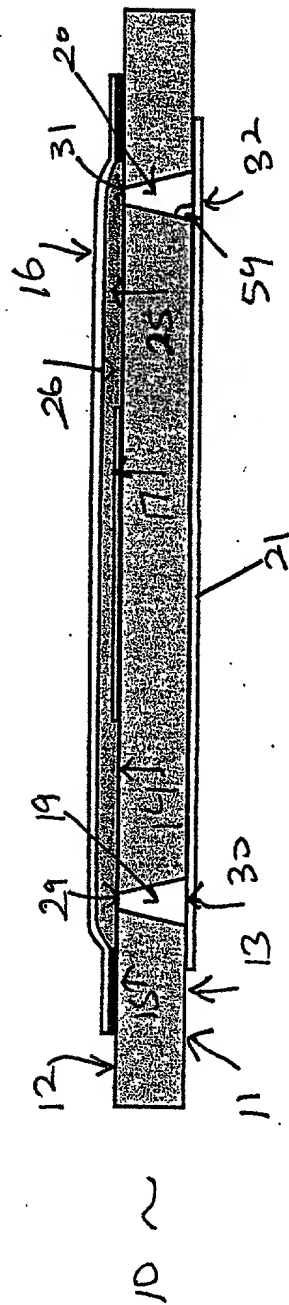
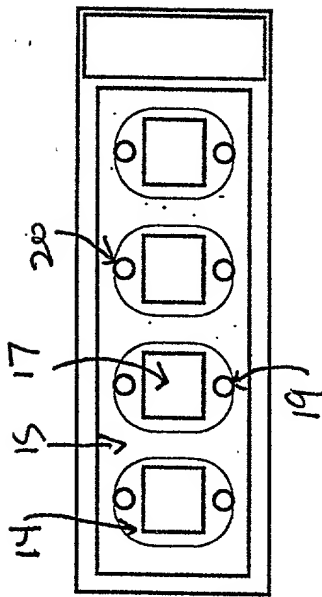
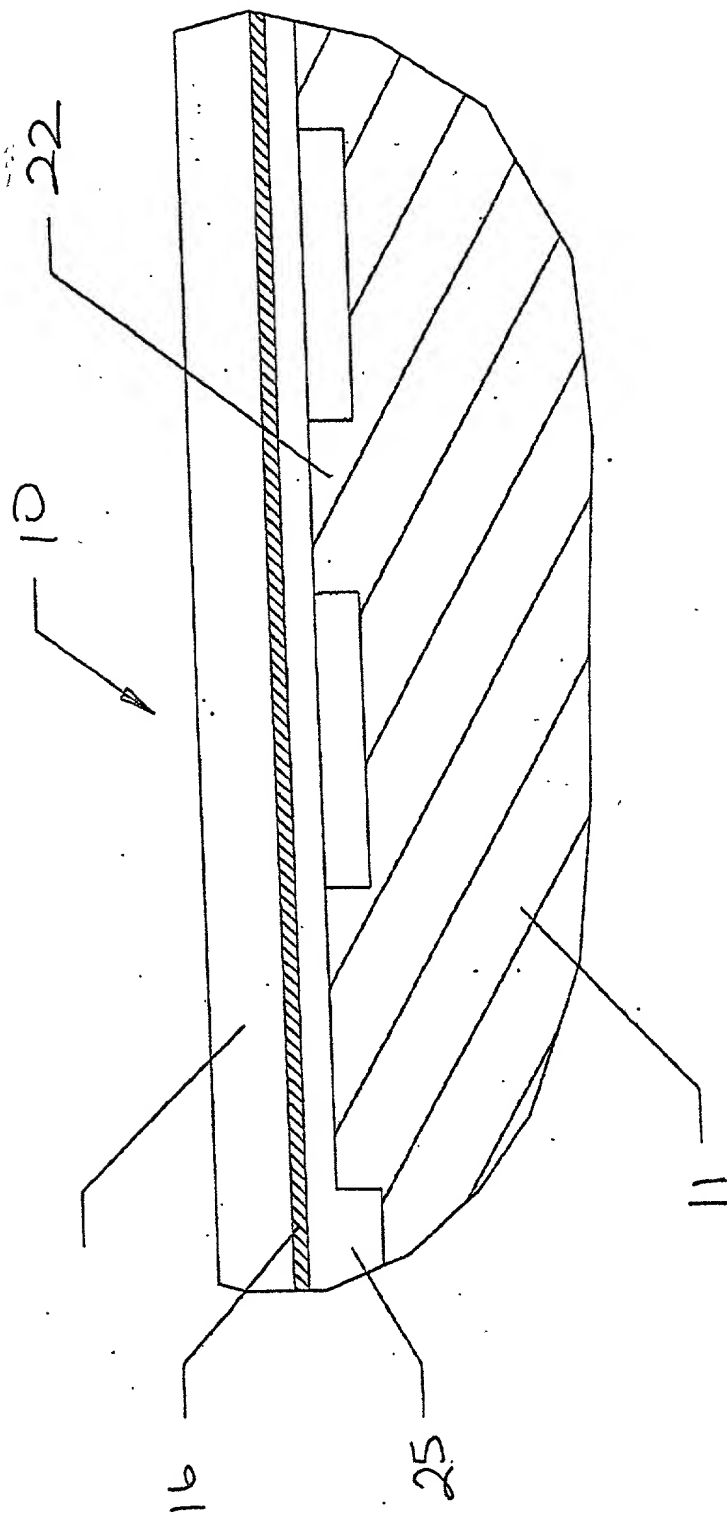


FIG. 1C

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2
--	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	---

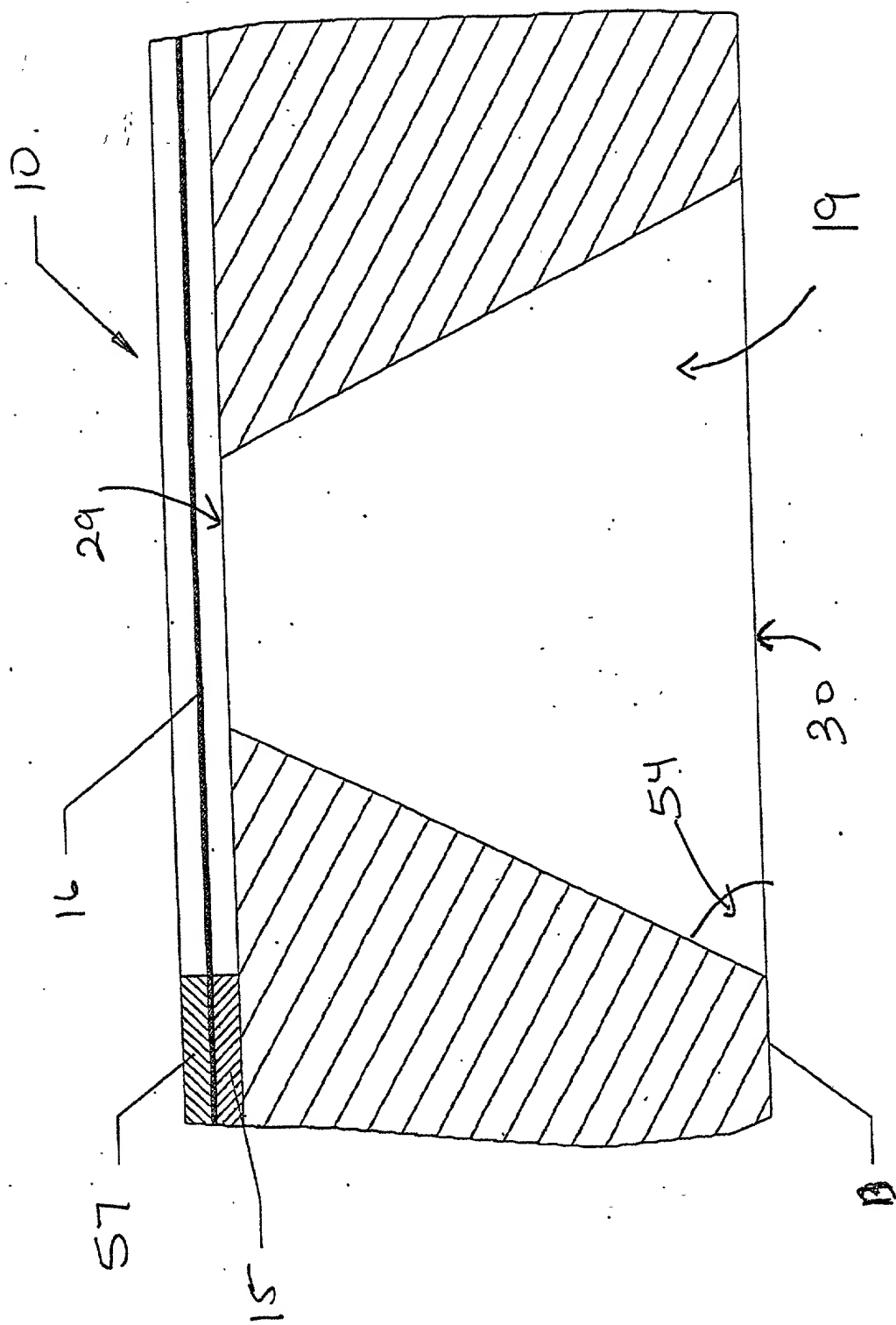


AT 5



The diagram illustrates a circular device 10. A central circular region 19 is defined within the main circular area. The surrounding annular region is labeled 12. A cross-sectional view 17 of a portion of the annular region shows a series of small, cylindrical elements 22 arranged in a grid pattern, suggesting a filter or a lens structure.

FIGURE 3



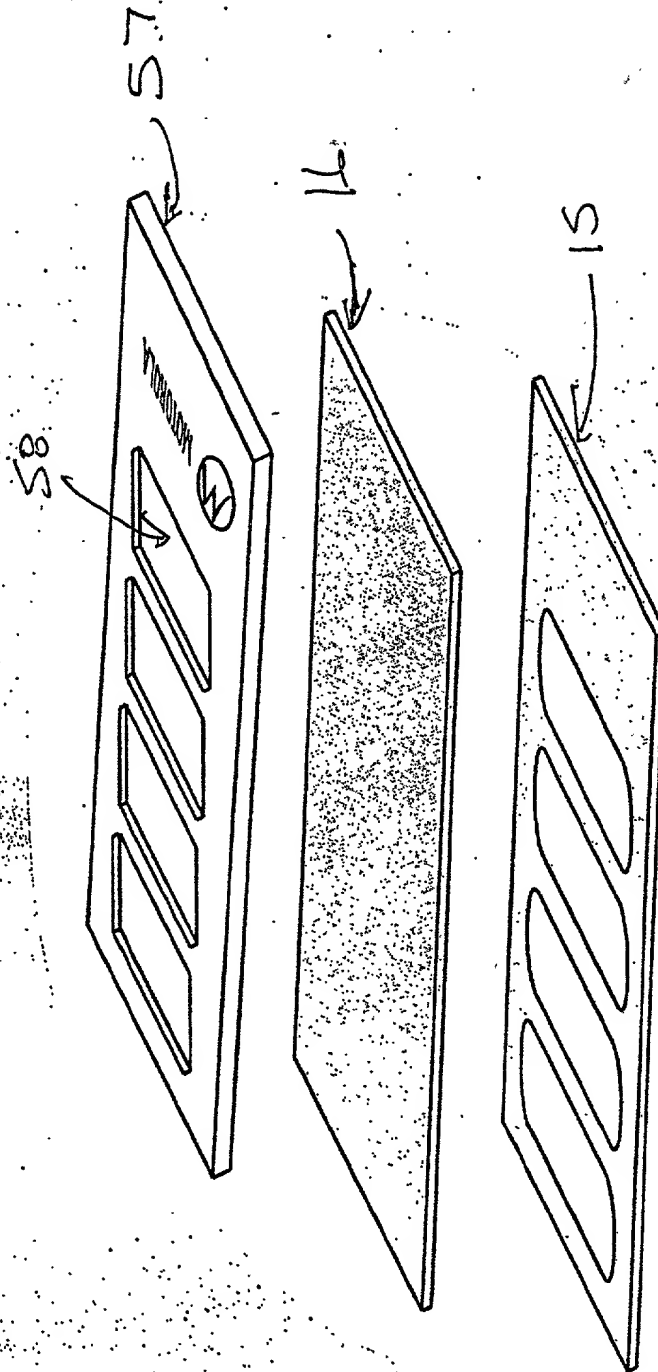
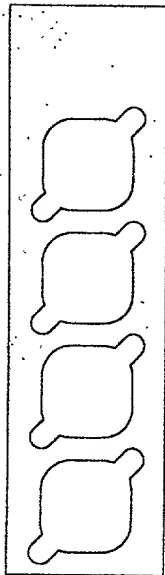


FIG. 5

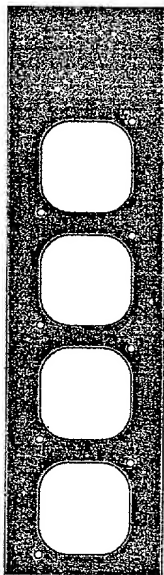
6  
H  
G  
L





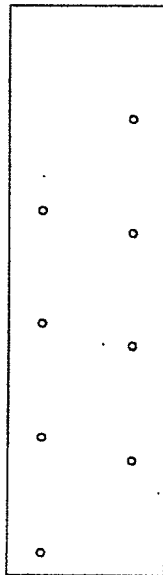
Label frame

FIG.  
7A



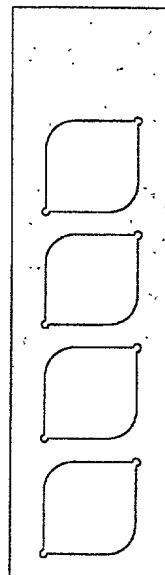
upper adhesive layer

FIG.  
7C



film layer

FIG.  
7B



bottom adhesive layer

FIG.  
7A

assembled label

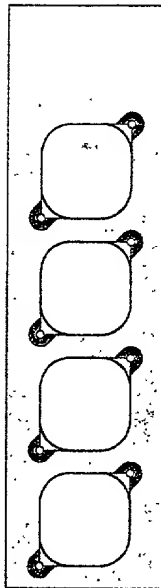
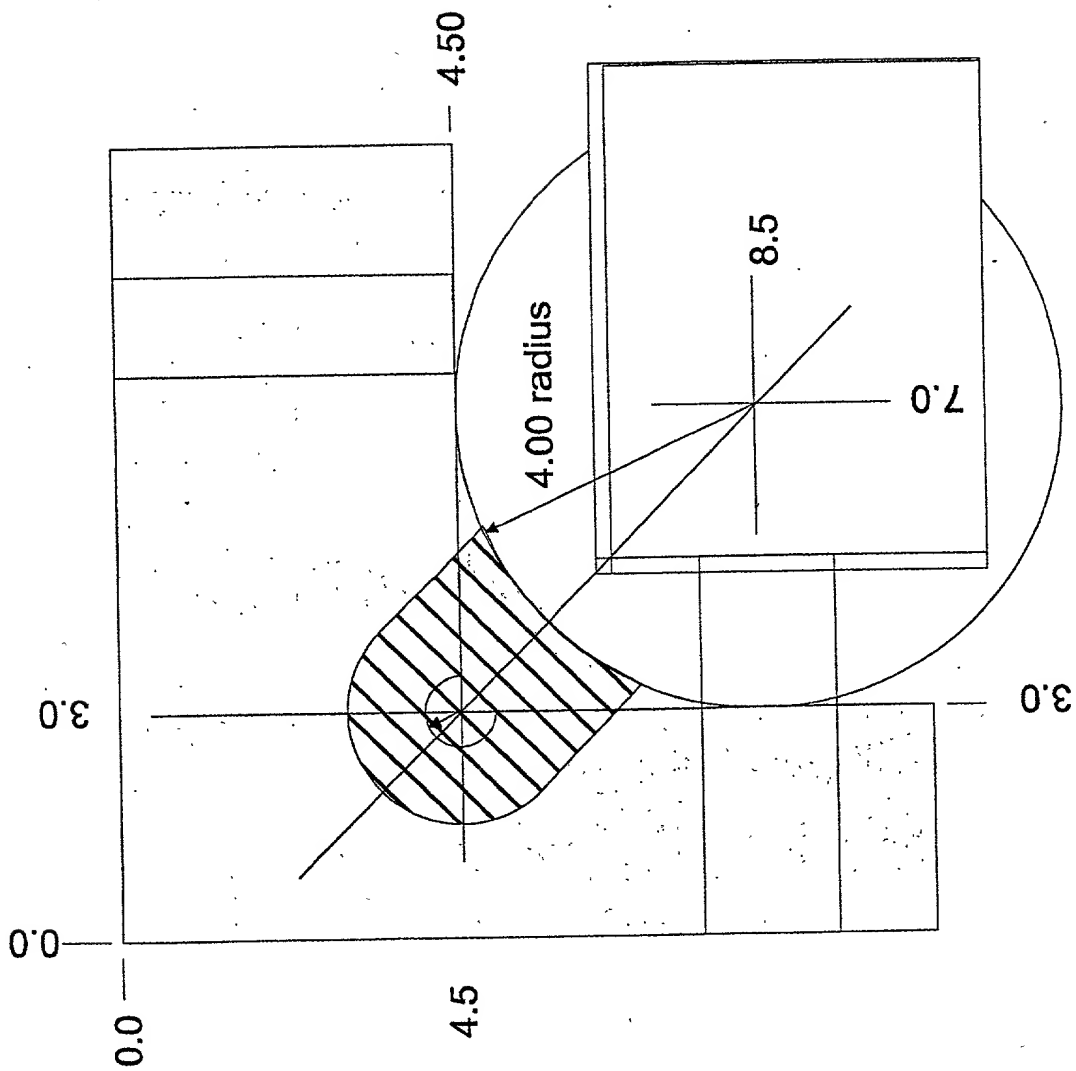


FIG.  
7E

008290" 99250960

FIG. 8A



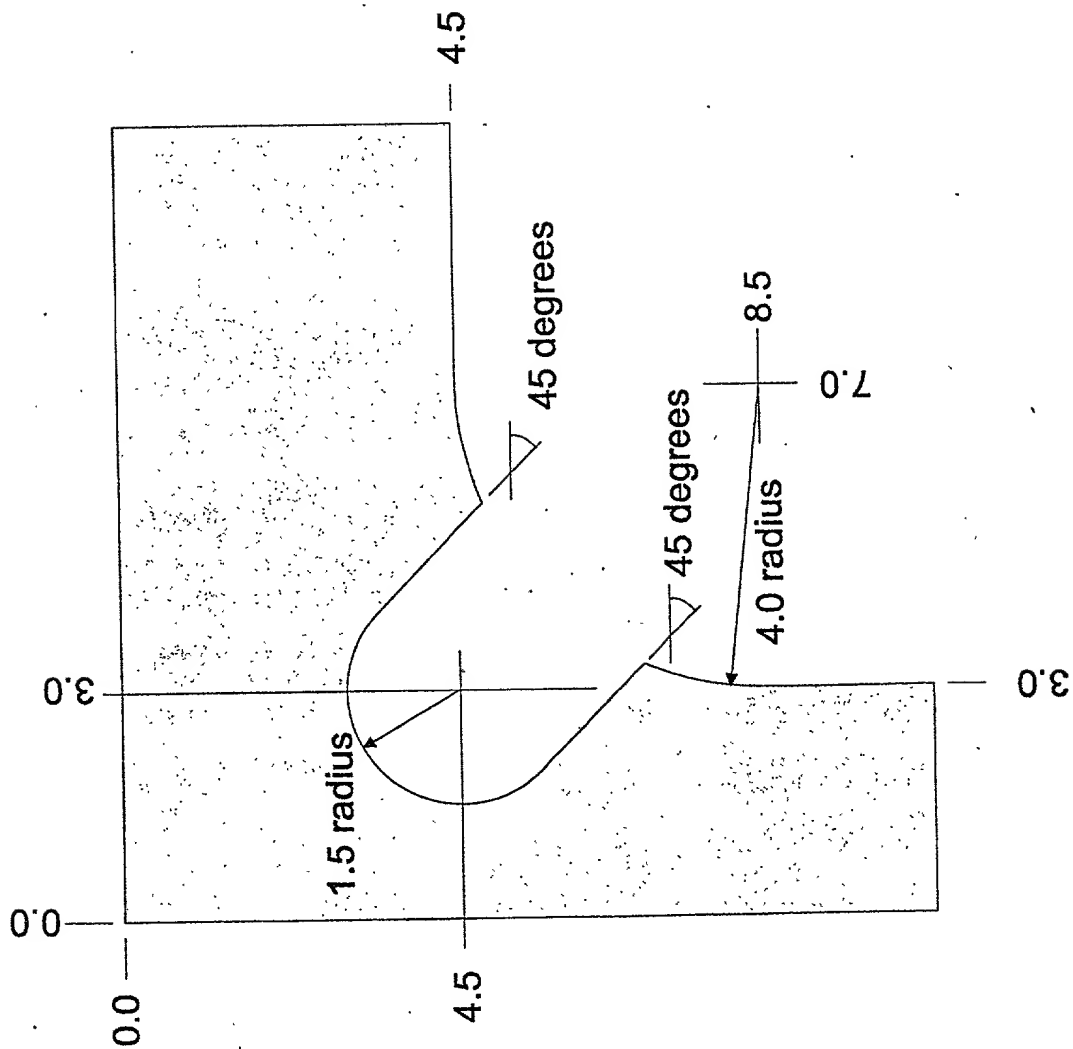


FIG- 83

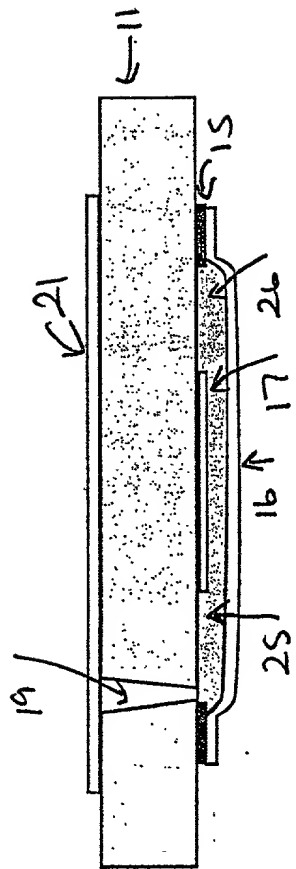


FIG. 9A

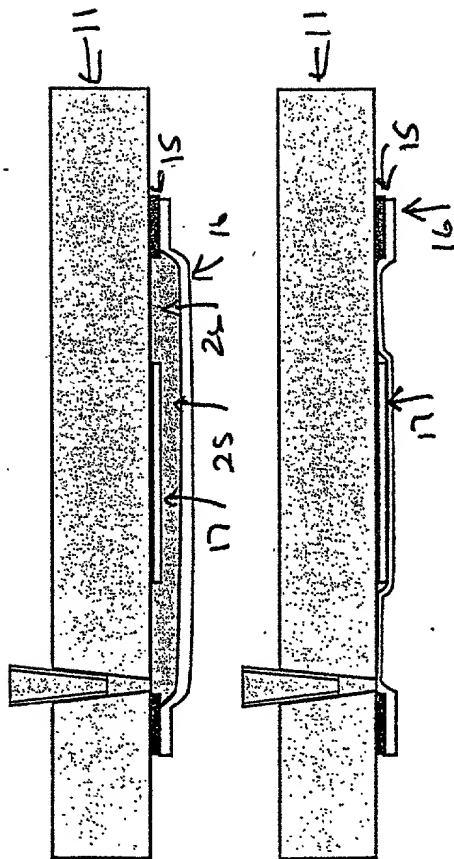


FIG. 9B

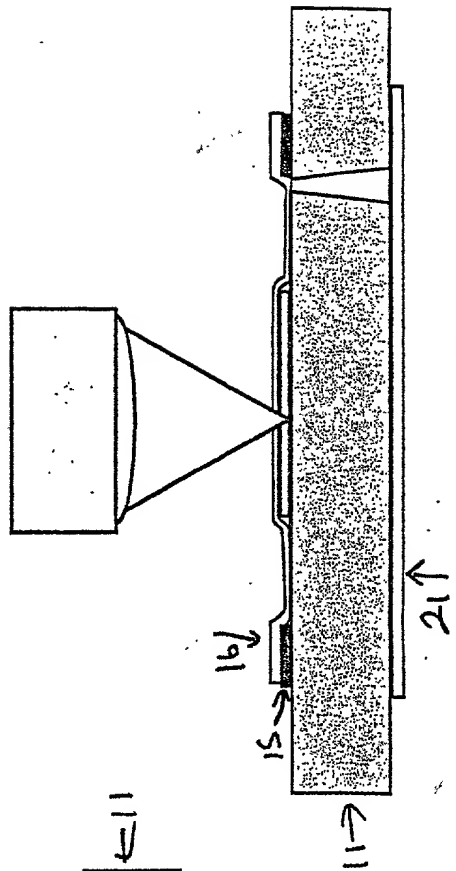


FIG. 9C

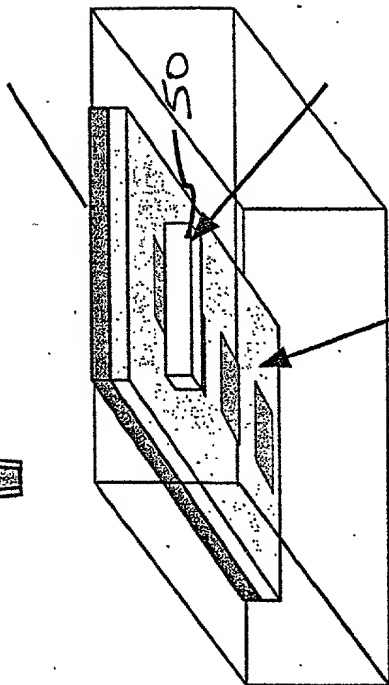


FIG. 10B

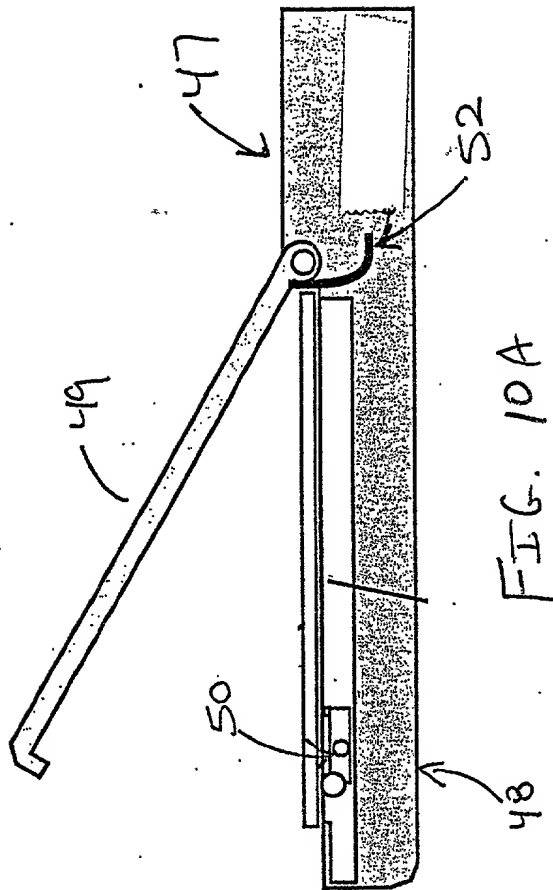
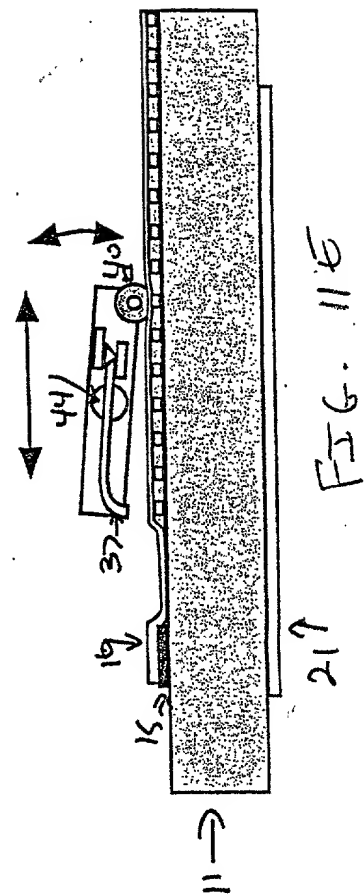
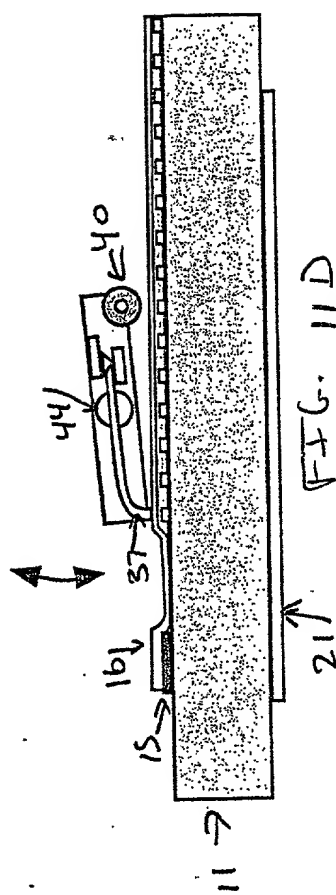
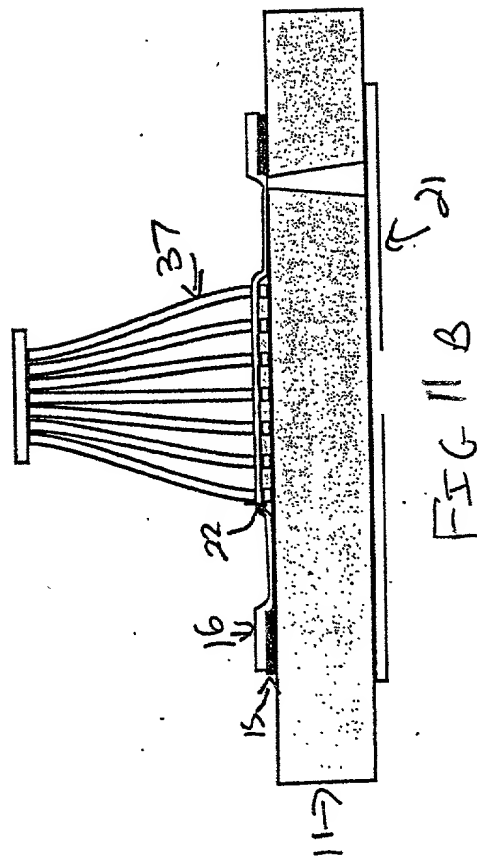
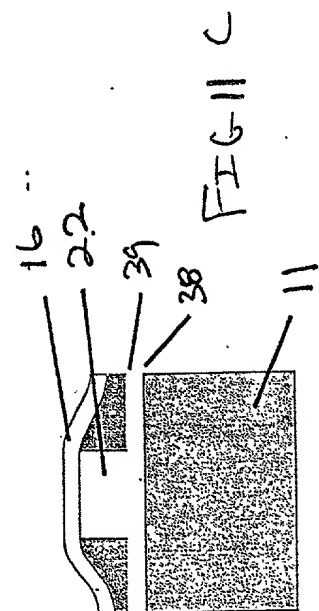
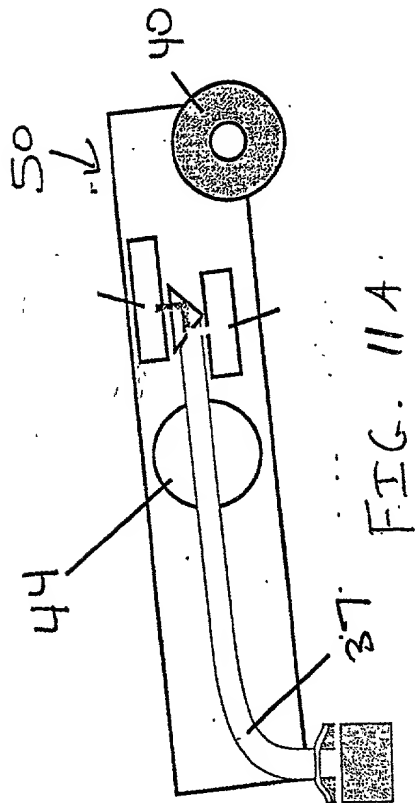


FIG. 10A

7



FIGURE 10C



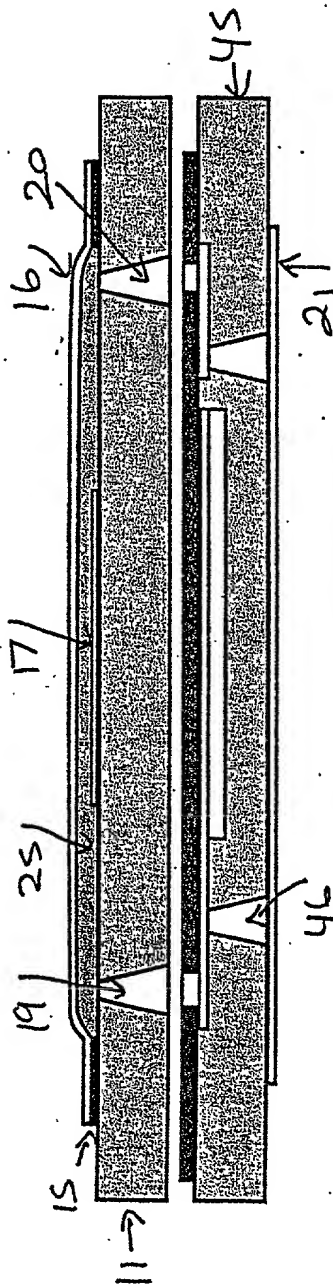


FIG 12A

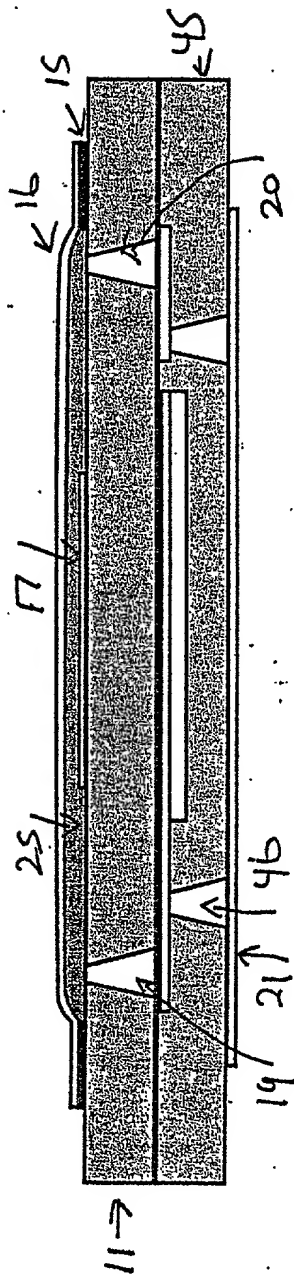


FIG 12B

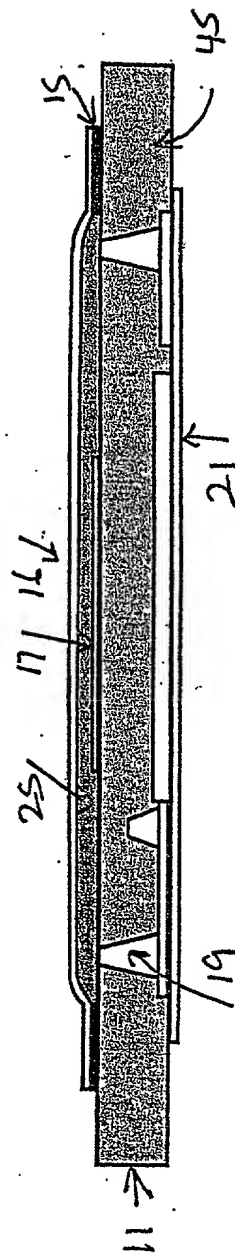


FIG 12C



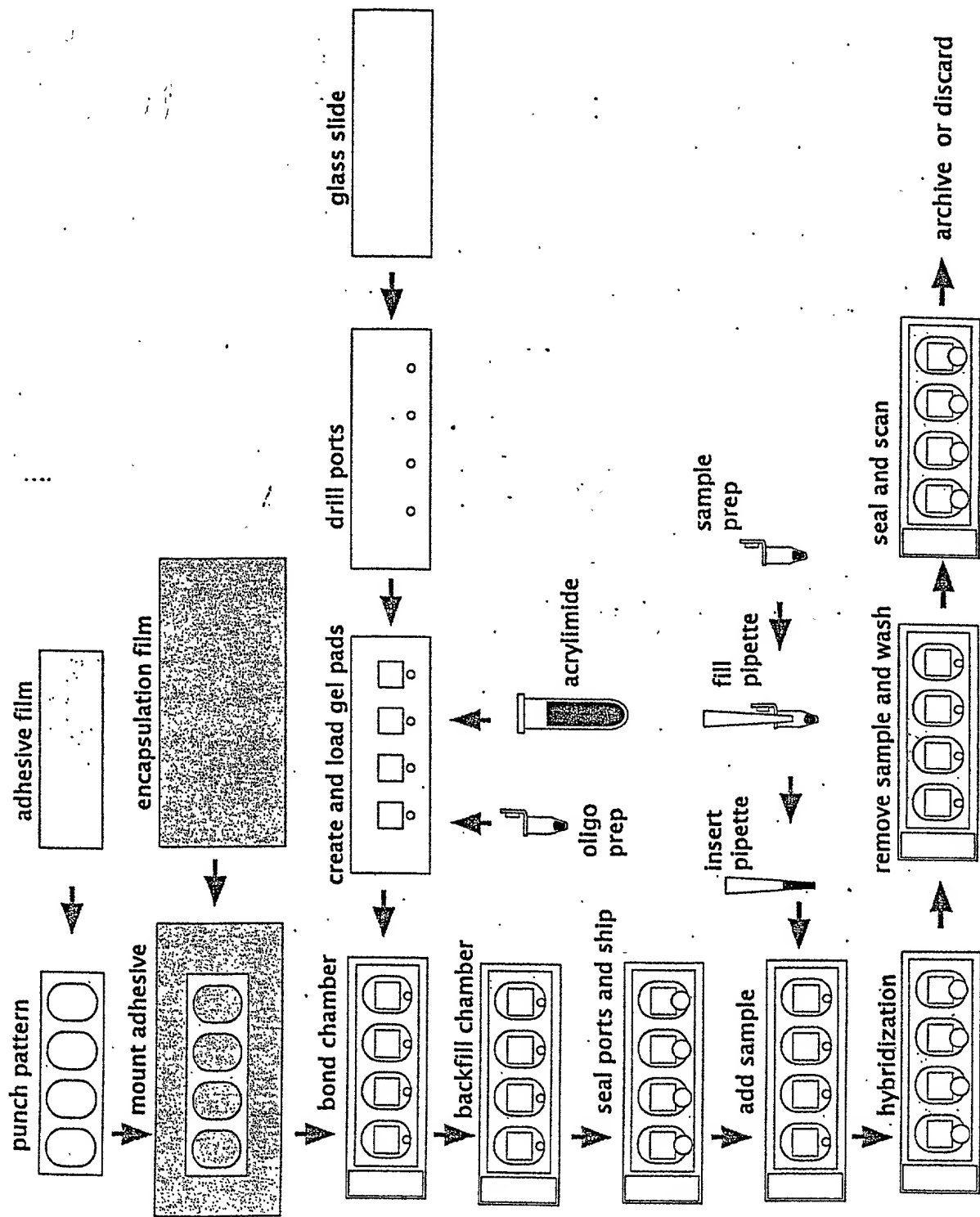


FIG. 13

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## METHOD AND APPARATUS FOR PERFORMING BIOLOGICAL REACTIONS ON A SUBSTRATE SURFACE

the specification of which is attached hereto unless the following space is checked:

☐ was filed on \_\_\_\_\_ as United States Application Serial Number \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>
1.			
2.			

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

	<u>Application Number</u>	<u>Filing Date</u>
1.		
2.		

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	<u>Application Number</u>	<u>Filing Date</u>	<u>Status: patented, pending, abandoned</u>
1.	09/424,490	12/15/99	Pending
2.			

I hereby appoint the following attorneys and agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Denis A. Berntsen	Reg. No. 26707	George I. Lee	Reg. No. 39269
John J. McDonnell	Reg. No. 26949	James M. McCarthy	Reg. No. 39296
Daniel A. Boehnen	Reg. No. 28399	Monica Grewal	Reg. No. 40056
Bradley J. Hulbert	Reg. No. 30130	Jeremy Noe (agent)	Reg. No. 40104
Paul H. Berghoff	Reg. No. 30243	Sean M. Sullivan	Reg. No. 40191
Grantland G. Drutchas	Reg. No. 32565	Timothy R. Baumann	Reg. No. 40502
Steven J. Sarussi	Reg. No. 32784	Amir N. Penn	Reg. No. 40767
David M. Frischkorn	Reg. No. 32833	Patrick J. Halloran	Reg. No. 41053
James C. Gumina	Reg. No. 32898	Joshua R. Rich	Reg. No. 41269
A. Blair Hughes	Reg. No. 32901	Thomas E. Wettermann	Reg. No. 41523
Thomas A. Fairhall	Reg. No. 34591	Vernon W. Francissen	Reg. No. 41762
Emily Miao	Reg. No. 35285	Robert J. Irvine	Reg. No. 41865
Kevin E. Noonan	Reg. No. 35303	Richard A. Machonkin	Reg. No. 41962
Leif R. Sigmond, Jr.	Reg. No. 35680	David S. Harper	Reg. No. 42636
Lawrence H. Aaronson	Reg. No. 35818	Stephen Lesavich	Reg. No. 43749
Matthew J. Sampson	Reg. No. 35999	Enrique Perez	Reg. No. 43853
Curt J. Whitenack	Reg. No. 36054	Marcus J. Thymian	Reg. No. 43954
Christopher M. Cavan	Reg. No. 36475	S. Richard Carden	Reg. No. 44588
Michael S. Greenfield	Reg. No. 37142	Mark L. Chael (agent)	Reg. No. 44601
Roger P. Zimmerman	Reg. No. 38670	Stephen H. Docter	Reg. No. 44659
Anthoula Pomrening	Reg. No. 38805		

Address all telephone calls to S. Richard Carden at (312) 935-2365.

Address all correspondence to MCDONNELL BOEHNEN HULBERT & BERGHOFF, 300 South Wacker Drive, Chicago, Illinois 60606 USA.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: George W. Hawkins

Inventor's signature: George W. Hawkins Date: June 27, 2000  
Residence: 429 E. Barbarita Avenue, Gilbert, AZ 85234  
Citizenship: United States  
Post Office Address: 429 E. Barbarita Avenue, Gilbert, AZ 85234